

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Problem Image Mailbox.**



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>5</sup> : A61B 5/04</p>	<p>A1</p>	<p>(11) International Publication Number: WO 92/21278 (43) International Publication Date: 10 December 1992 (10.12.92)</p>
<p>(21) International Application Number: PCT/US92/04315 (22) International Filing Date: 22 May 1992 (22.05.92) (30) Priority data: 705,627 24 May 1991 (24.05.91) US (71) Applicant: EP TECHNOLOGIES, INC. [US/US]; 897 Independence Avenue, Suite 1D, Mountain View, CA 94043 (US). (72) Inventors: EDWARDS, Stuart, D. ; 78509 Lakemont Place, San Amon, CA 94583 (US). FRANZ, Michael, R. ; 4701 Connecticut Avenue, N.W., No. 300, Washington, DC 20008 (US). THOMPSON, Russell, B. ; 3266 Sweetwater Drive, San Leandro, CA 94578 (US). STERN, Roger, A. ; 10418 Palo Vista Road, Cupertino, CA 95014 (US).</p>		<p>(74) Agents: FULLER, Henry, C. et al.; Fuller, Ryan, Hohenfeldt &amp; Kees, 633 W. Wisconsin Ave., Milwaukee, WI 53203 (US). (81) Designated States: AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), MC (European patent), NL (European patent), SE (European patent).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
<p>(54) Title: COMBINATION MONOPHASIC ACTION POTENTIAL/ABLATION CATHETER AND HIGH-PERFORMANCE FILTER SYSTEM.</p> <div data-bbox="500 1192 1110 1528"> </div> <p>(57) Abstract</p> <p>A combination catheter for both detecting monophasic action potentials and ablating surface tissue in an in vivo heart of a patient is provided. The apparatus includes a catheter probe having a terminal tip portion (10) and an electrode (20) carried on the tip such that a portion of the tip electrode (20) is exposed to ambient. A reference electrode (50) is spaced along the tip from the first electrode for supplying a reference potential signal. An ablating electrode (30) is located adjacent to but electrically insulated from both the tip (20) and reference (50) electrodes for providing electromagnetic energy to the tip. The electrodes are electrically connected to the proximal end of the catheter through individual conductors or wires (22, 32 and 50) that run through an insulated cable. An electronic filter is provided to permit the recording of MAPs during ablation without radiofrequency interference. The catheter may also include standard mapping and/or pacing electrodes (80 and 75) respectively. The catheter may further include a steering mechanism for positioning the catheter at various treatment sites in the heart, and a structure for holding the tip electrode in substantially perpendicular contact with heart tissue with a positive pressure, and for spacing the reference electrode from the heart tissue.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FI	Finland	ML	Mali
AU	Australia	FR	France	MN	Mongolia
BB	Barbados	GA	Gabon	MR	Mauritania
BE	Belgium	GB	United Kingdom	MW	Malawi
BF	Burkina Faso	GN	Guinea	NL	Netherlands
BG	Bulgaria	GR	Greece	NO	Norway
BJ	Benin	HU	Hungary	PL	Poland
BR	Brazil	IE	Ireland	RO	Romania
CA	Canada	IT	Italy	RU	Russian Federation
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic of Korea	SE	Sweden
CH	Switzerland			SN	Senegal
CI	Côte d'Ivoire	KR	Republic of Korea	SU	Soviet Union
CM	Cameroon	LJ	Liechtenstein	TD	Chad
CS	Czechoslovakia	LK	Sri Lanka	TG	Togo
DE	Germany	LJ	Luxembourg	US	United States of America
DK	Denmark	MC	Monaco		
ES	Spain	MG	Madagascar		

-1-

5     COMBINATION MONOPHASIC ACTION POTENTIAL/ABLATION CATHETER  
          AND HIGH-PERFORMANCE FILTER SYSTEM

Background of the Invention

          This invention relates to a catheter for the  
10    simultaneous or near-simultaneous recording of monophasic  
      action potentials (MAPs) and ablating arrhythmia-causing  
      tissue by coupling radiofrequency energy to tissue  
      surrounding the catheter tip, and more particularly to a  
      method and apparatus for simultaneously recording MAPs  
15    and ablating by contacting heart tissue with a small dual  
      MAP/ablating electrode under positive pressure.

          The electrical charge of the outer membrane of  
      an individual heart muscle cell is known as the "membrane  
      potential". During each heart beat, the membrane  
20    potential discharges (depolarizes) and then slowly  
      recharges (repolarizes). The waveform of this periodic  
      depolarization and repolarization is called the  
      "transmembrane action potential." Mechanistically, the  
      action potential is produced by a well-organized array of  
25    ionic currents across the cell membrane.

          At the turn of the century, it had already been  
      recognized that a potential similar in shape to the  
      later-discovered transmembrane potential could be  
      recorded if one brought into contact a first electrode  
30    with an injured spot of the heart and a second reference  
      electrode with an intact spot. Those signals became  
      known as "injury potentials" or "monophasic action  
      potentials" (MAPs) because of the waveform shape.

          The further development of the science of MAPs  
35    may be found in United States Patent No. 4,955,382, the

-2-

disclosure of which is hereby incorporated by reference. It has been recognized that local heart muscle injury is not a prerequisite for the generation of MAPs, and that application of slight pressure with the tip against the inner wall of the heart will result in the generation of monophasic action potential signals. These signals can be recorded reliably (i.e., without distortion) by using direct current (DC) coupled amplification.

One problem to overcome in MAP recordation is the slow DC drift caused by electrode polarization in conventional electrical material used in the recording of intracardiac electrical signals, such as silver or platinum. These materials are polarizable and cause offset and drift--which is not a problem in conventional intracardiac recordings, because those signals are AC coupled, which eliminates offset and drift. The MAPs, however, are to be recorded in DC fashion, and therefore are susceptible to electrode polarization. The use of a silver-silver chloride electrode material yields surprisingly good results in terms of both long-term stability of the signal and extremely low noise levels.

Another important discovery has been that the tip electrode of the catheter should be held against the inner surface of the heart with slight and relatively constant pressure. In order to accomplish this in a vigorously beating heart, a spring-steel stylet is inserted into a lumen of the catheter to act as an elastic spring, keeping the tip electrode in stable contact pressure with the endocardium throughout the cardiac cycle. This leads to major improvements in signal stability.

Another design objective for a good MAP catheter is to ensure a relatively perpendicular position of the electrode tip with the endocardial wall. Again, the spring electrode is useful in this respect.

-3-

Conventional catheters are usually brought into contact with the heart wall in a substantially tangential manner. Such conventional catheters are designed simply to record intercardiac electrograms, not MAPs. For the monophasic action potential catheter, direct contact between the tip electrode and the endocardium is made. This also keeps the reference electrode, which is located along the catheter shaft, away from the heart muscle.

To facilitate the maneuverability of the catheter during a procedure in the human heart, the distal end of the catheter should be relatively flexible during the time of insertion, and the spring-loading feature preferably comes into action only after a stable position of the catheter tip has been obtained. Thus, in a preferred embodiment the catheter is constructed in such a way that the spring wire situated in the lumen of the catheter is retractable. During catheter insertion, the spring wire or stylet is withdrawn from its distal position by approximately 5 cm, making the tip relatively soft. Once the catheter is positioned, the spring wire is again advanced all the way into the catheter in order to stiffen it and to give it the elastic properties that are important for the described properties. Improved stylets and wires that position the tip electrode perpendicularly to the heart surface with the proper amount of constant pressure are described in U.S. Patent No. 4,955,382.

Thus, a main feature of a good catheter is the ability to bring into close and steady contact with the inner surface of the myocardial wall a nonpolarizable electrode which both produces and records MAPs. To achieve this property, the electrodes are formed from nonpolarizable material such as silver-silver chloride, and the tip electrode should be maintained at a

35

-4-

relatively constant pressure against the myocardial wall, preferably with some type of spring loading.

The catheter of the present invention preferably contains a spring-steel guide wire which provides a high degree of elasticity or resilience, allowing the catheter tip to follow the myocardial wall throughout the heartbeat without losing its contacting force and without being dislodged. The inner surface of the heart is lined with crevices and ridges (called the trabeculae carneae) that are helpful in keeping the spring-loaded catheter tip in its desired location. The contact pressure exerted by the tip electrode against the endocardial wall is strong enough to produce the amount of local myocardial depolarization required to produce the MAP. The contact pressure is, on the other hand, soft and gentle enough to avoid damaging the endocardium or the myocardium or cause other complications. In particular, no cardiac arrhythmias are observed during the application of the catheter. Usually a single extra beat is observed during the initial contact of the catheter tip against the wall.

The tip electrode is responsible for the generation and the recording of the MAP itself. A reference electrode, or "indifferent" electrode, required to close the electrical circuit, is located approximately 3 to 5 mm from the tip electrode in the catheter shaft and is embedded in the wall so that it is flush with or slightly recessed in the catheter shaft. In this position, the electrode makes contact only with the surrounding blood and not with the heart wall itself.

This reference electrode is brought into close proximity with the tip electrode, since the heart as a whole is a forceful electrical potential generator and these potentials are everywhere in the cardiac cavities.

-5-

If the reference electrode were in a remote location, then the amplifier circuit would pick up the QRS complex.

Another feature of this invention relates to thermal destruction, or ablation. Ablation of abnormal myocardial tissue (such as arrhythmia-causing tissue) is a therapeutic procedure used with increasing frequency for treatment of cardiac arrhythmias such as, for example, ventricular tachycardia. The medical technique of ablation is discussed in G. Fontaine et al., Ablation in Cardiac Arrhythmias (New York: Futura Publishing Co., 1987), and D. Newman et al., "Catheter Ablation of Cardiac Arrhythmias", in Current Problems in Cardiology, Year Book Medical Publishers, 1989.

Catheter ablation of ventricular tachycardia was first described in 1983 as a method for destroying arrhythmia-causing tissue. Typically, a pacing catheter is introduced into the left ventricle of the heart, and manipulated until the site of earliest activation during ventricular tachycardia is found, indicating the location of the problem tissue. Electrical energy, often high voltage DC pulses are then applied between a catheter-mounted electrode and an external chest wall electrode. In this way, arrhythmia-causing cardiac tissue is destroyed.

More recently, less drastic methods than high voltage pulses have been developed, which are painful (requiring general anaesthesia), and dangerous due to arcing and explosive gas formation at the catheter tip. The use of electromagnetic energy, more particularly radiofrequency (RF) or microwave energy, is currently in popular use. RF and microwave energy, unless otherwise noted, refers to energy in the electromagnetic spectrum from about 10 kHz to 100 GHz. RF ablation, usually in the range of 300-1200 kHz, is a safer alternative to high voltage DC pulsing in which RF energy is applied to the



-6-

endocardium via an catheter electrode. Tissue destruction, or ablative injury, is effected by heating generated by the RF electric field. RF ablation results in a more controllable lesion size, with no gas or shock wave formation. Ablation may also be effected with energy having microwave frequencies, from about 700 MHz to 100 GHz.

Currently, no reliable on-line method exists to quickly and accurately determine whether radiofrequency (RF) energy application has resulted in lesions of a size sufficient to destroy the injured myocardial tissue. That is primarily because previously, no provision has been made for accurately measuring the electrophysiological activity of the heart in the immediate vicinity of heart tissue which is being ablated by an ablating catheter. Moreover, if it is desired to pace the heart at the same time as measuring MAPs in the heart, two entrance sites to the patient must be created and two catheters must be utilized, which is highly undesirable.

Because of the complexity of electrical cardiac activity, when a pacing or ablating electrode is inserted into the heart, and it is desired to measure the resulting monophasic action potentials of the heart, it would be of extreme usefulness to be able to measure such potentials in the vicinity of the ablation, rather than at a more remote location.

Important applications of the present invention are in the areas of studying and treating myocardial ischemia and cardiac arrhythmias. In particular, the present invention permits (1) precisely locating areas of myocardial ischemia by studying localized MAPs and directly treating them; and (2) diagnosing the nature and locality of arrhythmias originating from after-depolarizations and treating those arrhythmias. These after-depolarizations have heretofore been detected only

-7-

in isolated animal tissue preparations where microelectrodes can be applied. The MAP/ablation catheter is a tool that can allow the clinical investigator to detect and remedy such abnormal potentials in the human heart and thereby significantly broaden the ability to diagnose this group of arrhythmias.

#### Summary of the Invention

The present invention overcomes the drawbacks in the prior art by permitting ablation both in the same region where MAPs are being recorded, and at the same or nearly the same time as they are being recorded. The system uses an electronic filter system to eliminate radiofrequency interference that would otherwise prevent simultaneous ablation and recordation. Although simultaneous ablation and EKG reading has previously been possible, simultaneous ablation and MAP recordation offers more information to the user, as the MAP signal is a more sensitive indicator of tissue viability.

Previously, simultaneous ablation and MAP recording was possible using two catheters. However, this method was more invasive and more uncertain, as situating the two catheters at precisely the same location was difficult or impossible. Therefore, the present invention provides a heretofore unavailable single ablating catheter capable of being a precise instantaneous indicator of thrombus conditions or tissue death.

By permitting ablation and MAP recordation at approximately the same time and same location in the heart, the present invention also potentially minimizes the necessary lesion size, as ablation may be stopped immediately upon recognition of tissue death.

35

-8-

Therefore, it is an object of the present invention to provide an apparatus for measuring monophasic action potentials and, at about the same time, ablating surface myocardial tissue with electromagnetic energy.

It is another object of the present invention to provide an apparatus for measuring monophasic action potentials and, at about the same time, ablating surface myocardial tissue with radiofrequency energy.

A further object of the present invention is to provide a MAP measuring apparatus which can accurately record action potentials and ablate surface myocardial tissue over sustained periods of time.

Another object of the present invention is to provide a MAP measuring apparatus which can ablate surface myocardial tissue and measure action potentials in a vigorously beating in-situ heart.

Another object of the present invention is to provide a method of using the apparatus for recording MAPs while simultaneously ablating surface myocardial tissue, or immediately before or after ablating surface myocardial tissue.

A further object of the present invention is to provide a method of detecting and correcting ischemia by sensing MAPs and, at approximately the same time and location in the heart, ablating surface myocardial tissue.

In accordance with the above objects, the present invention includes an apparatus for both detecting monophasic action potentials and ablating surface tissue in an in vivo heart of a patient. The apparatus comprises a catheter probe having a terminal tip portion and a first electrode carried on the tip such that a portion of the first electrode is exposed to ambient. A second electrode is spaced along the tip from

-9-

the first electrode for supplying a reference potential signal. A third electrode is located adjacent to the first electrode but electrically insulated from both the first and second electrodes for providing electromagnetic energy, preferably radiofrequency or microwave energy.

The electrodes are electrically connected to the proximal end of the catheter through individual conductors or wires that run through an insulated cable. In one embodiment of the invention, coaxial cable may be used as the conductors and cable together. The connection of one conductor to the third electrode (the ablation electrode) will include an antenna for receiving electromagnetic energy. The antenna may be formed by the conductor itself, or may be a separate component, such as a conductor wound in the form of a helix. Helical antennas, and their connection in an ablating catheter system, are described in U.S. Patent No. 4,945,912, the disclosure of which is hereby incorporated by reference.

The system of this invention may also include a steering mechanism for positioning the catheter in various locations in the heart. The mechanism permits the distal end of the catheter to be bent into varying shapes, and will include proximally located controls, a flexible steering shaft, and a distal apparatus for bending the distal end. Suitable steering mechanisms are disclosed in commonly-owned, copending United States Application Serial No. 07/473,667, the disclosure of which is hereby incorporated by reference.

In accordance with further aspects of the invention, an electronic filter is provided to permit the recording of MAPs during ablation without radiofrequency interference. The probe may also be provided with a structure for holding the first electrode in contact with heart tissue with a positive pressure without causing significant macroscopic damage to the heart tissue and

-10-

for orienting the probe such that the second electrode is spaced from the heart tissue and the third electrode remains near the heart tissue.

The first and second electrodes of this invention are non-polarizable, preferably formed of silver-silver chloride, to avoid direct current drift during the course of investigation.

#### Brief Description of the Drawings

Figure 1 is a schematic view of the tip portion of the apparatus of the invention.

Figure 2 is a sectional view of the tip portion shown in Figure 1.

Figure 3 is another sectional view of an alternate embodiment of the tip portion of the apparatus of the invention.

Figure 4 is a schematic view of the tip portion of an alternate embodiment of the invention.

Figure 5 is a sectional view of a variable tip assembly, an alternate embodiment of the invention.

Figure 6 is another sectional view of an alternate embodiment of the tip portion of the apparatus of the invention.

Figure 7 is an electrical schematic of the electronic filter system of the invention.

Figure 8 is a schematic view of a combination MAP/ablation/pacing catheter tip of the invention.

Figure 9 is an overall schematic view of the catheter system of the invention.

#### Detailed Description of the Invention

FIGS. 1 and 2 show the tip portion 10 of a probe according to the present invention. Tip 10 comprises an exposed tip electrode 20 for detecting monophasic action potentials. Electrode 20 is a sintered

-11-

depolarizing electrode, preferably formed of silver-silver chloride. Adjacent to, but electrically insulated from the tip electrode is the ablating electrode 30.

Electrode 30 is preferably formed of stainless steel or platinum, and is insulated from electrode 20 by skirt 40. Skirt 40 may be made of Teflon tubing, for example, or any other insulating material. Skirt 40 has a thickness of .001 - .200 inches, most preferably .002 - .020 inches.

In this manner, the ablating electrode 30 is located in close proximity to the MAP recording tip electrode 20, and the catheter tip will effectively be recording MAP signals at the same location where ablation is taking place. The two electrodes may be as close as possible, limited only by the finite thickness of insulating skirt 40. If the two electrodes are separated by much more than .200 inches, however, the ablating electrode may no longer be effectively ablating the area where the MAP signals are recorded, or it may be ablating a different area.

Located proximally from electrode 30 is a side electrode 50, which serves as the "indifferent" electrode for tip electrode 20. Side electrode 50 is electrically insulated from the tip and ablation electrodes by an insulating insert 60. Insert 60 is preferably formed from an insulating material such as plastics or rubbers, more preferably Delrin.

The tip and side electrodes 20 and 50 are preferably formed from a sintered silver-silver chloride material. An alternative structure for the electrodes 20 and 50 is provided by utilizing silver-silver chloride flakes bound together by cyanoacrylate adhesive. It has been found that it is desirable to place side electrode 50 proximally from the tip electrode 20 by a suitable distance as, for example, 3-10 mm, preferably 3-5 mm.

-12-

As seen in FIG. 2, electrodes 20, 30 and 50 are electrically connected to the proximal end of the catheter via electrical conductors 22, 32 and 52, respectively. The electrical conductors are formed of a suitable material such as insulated copper and serve as signal wires. Tip electrode 20 is soldered to center conductor 22, which is covered with a teflon sleeve for electrical insulation. Electrical conductor 22 may also act as an antenna for receiving transmitted electromagnetic energy.

FIGS. 1 and 2 also show an ablating skirt 70, which is in fact an optional component of the present invention. Skirt 70 forms a ring on the probe surface at the proximal end of electrode 30. The thickness of skirt 70 varies based on its axial distance from the junction of electrode 30 and insert 60. The thickness of skirt 70 tapers to zero at the distal rim and monotonically increases to about .010-.040 inches at the proximal rim. The skirt is formed from a partially conductive material, preferably a partially conductive epoxy such as a silver epoxy. Proximally located to skirt 70 on the probe surface is insert 60, although electrode 30 may have a tapered base, thereby extending proximally beyond skirt 70 in the probe interior as seen in FIG. 2.

Skirt 70 is formed so that the electrical properties at the electrode-insert junction are tempered. Without the skirt, the junction forms a hot spot and an undesirable charring focus. The presence of a partially conductive skirt with resistive or capacitive properties changes the surface impedance, equalizes the external electric fields and improves radial penetration of the field. By vastly reducing or eliminating the aberrational electrical fields, the skirt eliminates the charring problem.

35

-13-

FIG. 3 shows an alternate embodiment of the housing for the tip and ablating electrodes. Socket 35 is designed to receive electrode 30 (as shown in FIG. 6), which tapers at its base to about .058 inches in diameter. When inserted, electrode 30 is thereby fitted in teflon skirt 45 with an inner diameter of about .058 inches and an outer diameter of .080 inches. Electrode 30 extends about .046 inches down the probe and sits on the thin bottom of the teflon skirt.

FIG. 4 shows an alternate embodiment of the catheter of the invention which, in addition to performing simultaneous MAP recordation and radiofrequency ablation, is able to simultaneously perform standard mapping through a number of standard mapping electrodes. The process of mapping through mapping electrodes is well known as described in Zipes et al., Cardiac Electrophysiology (Saunders Pub. Co.). The probe shown in FIG. 4 consists of a distal end identical to that shown in FIG. 1, but utilizes a series of proximally located standard mapping electrodes 80 separated by a series of insulating inserts 90. Although the drawing depicts three mapping electrodes, as few as 1 and as many as 16 or more could actually be used.

FIG. 5 shows an alternate embodiment of the tip of the current invention. In this embodiment, a variable tip assembly is utilized so that alternate tip shape or configuration may be easily substituted. Tip shapes including "peanut", "hourglass" or bulbous geometries are contemplated. The tip electrode and ablating electrode are contained on removable head 100, which varies in size from 3-10 mm and attaches to probe 110 through threaded plug 120. Plug 120 is a watchmaker's thread screw and screws into threaded hole 130 to sit on teflon skirt 140. Electrical conductors to the electrodes extend through plug 120 and attach to conductors located centrally in



-14-

probe 110 through hole 130. Loctite is used to fix head 100 in proper position.

The variable tip assembly configuration of FIG. 5 can be used to provide nonsterilized tips, which can be easily sterilized by conventional methods prior to use, permitting ease of manufacture and use.

FIG. 6 shows yet another alternative embodiment of the catheter of the current invention in which the tip and ablating electrodes have been inserted into socket 35 of the device of FIG. 3. In particular, this figure shows a central bore 140 running from the proximal end of the probe to the tip electrode at the distal tip. Bore 140 contains the electrical conductors that electrically connect the distal electrodes to the proximal end, and may also contain steering wires for controlling catheter steering.

FIG. 7 is a schematic diagram of the electronic filter system of the invention. The filter is a DC-accurate 5 kHz low pass filter that receives the MAP signal from electrode 20 via conductor 22 as shown in FIG. 1. The filter permits passage of frequencies only below 5 kHz. Accordingly, all ablating frequencies (RF and microwave) do not pass, and only MAP signals will pass. The outputs 202 and 203 pass the filtered signal to a display device such as an oscilloscope or strip chart recorder.

The filter in FIG. 7 uses two inputs 200 and 201. These two inputs may be connected to either the distal tip electrode 20, proximal side electrode 50, as shown in FIG. 1, or directly to the skin of the patient. When the inputs are the connected to the two electrodes, the system is called a "distal bipolar" system. A distal or proximal "unipolar" system occurs when input 200 is connected to one electrode and input 201 is connected to the patient's skin.

-15-

FIG. 8 shows an alternative embodiment of the invention, depicting a catheter 10 which is a combination pacing, ablation and MAP catheter. FIG. 8 is similar to FIG. 1, the difference lying in the presence of a pair of  
5 pacing electrodes 75. The function and method of use of pacing electrodes such as electrodes 75 for activating is well known in the art in standard configurations of pacing electrode catheters; that is, the same types of  
10 electrical signals which are provided to pacing electrodes in standard pacing catheters may also be provided to the electrodes 75 in the present invention.

The two pacing electrodes 75 are .035" platinum dot electrodes, and are positioned substantially diametrically opposite each other on the exterior surface  
15 of the catheter. Side electrode 50 is radially positioned halfway between said two pacing electrodes, but may be located axially toward or away from the catheter tip.

FIG. 9 is a schematic overview of a combination  
20 MAP, ablation, and pacing catheter 200, in which pacing electrodes 210 and 220 are mounted at the distal end 230 of the catheter 200. In addition, a tip electrode 240 a side electrode 250, and an ablation electrode 255 are provided, as in the configuration of FIG. 1, and are  
25 electrically connected to connections such as plugs 260 and 270.

The pacing electrodes 210 and 220 are similarly connected to plugs 280 and 290, respectively. Plugs 280 and 290 are standard plugs. It will be understood that  
30 contained within FIG. 8 are the necessary electrical leads to the electrodes 210, 220, 240, 250 and 255, and in addition stylets and other features as described herein with respect to other embodiments may be included. The electrical lead to electrode 255 may be connected to  
35 an electromagnetic energy source, such as a

-16-

radiofrequency source or microwave source, for providing ablating energy to the catheter tip. The electrical leads to electrodes 240 and 250 may provide input to the RF filter of FIG. 7, as described above.

5           A coupling 300 for the plugs 260-290 is provided, insuring a reliable connection between the plugs to the electrical leads contained within the catheter 200. This coupling 300 is preferably of a hard material such as polycarbonate, and has an enlarged  
10       diameter relative to the catheter 200. This provides greater torque control for the user of the catheter when manipulating the catheter into the heart and positioning the tip electrode 240 against the endocardium.

          In addition to the coupling 300, a knurled knob  
15       310 may be attached at the proximal end 320 of the catheter 200. The knob 310 is preferably connected to the catheter 200 in a nonrotatable fashion, such that axial rotation of the knob 310 causes similar axial rotation of the catheter 200. As shown in FIG. 8, the  
20       knob 310 may be generally cylindrical in configuration, or may be of some other convenient shape for twisting by hand.

#### Methods of Use

25           The general principle of identifying ischemic, infarction, and arrhythmia-causing sites using MAPs is described fully in U.S. Patent No. 4,955,382. That procedure is basically followed in the current invention with the following additions.

30           To localize and treat myocardial ischemia or ventricular tachycardia, the catheter of the present invention is inserted endocardially, and as the catheter is consecutively placed at multiple endocardial locations, MAP signals are detected until an abnormal  
35       condition is discovered. This abnormal condition may be

-17-

the late arrival of the action potential, or the wrong potential. When the abnormal MAP is detected, RF energy is passed to the ablation electrode to ablate the abnormal tissue. While the ablating RF energy is being  
5 dissipated to the tissue, the MAP signal is simultaneously being read and recorded. When the MAP signal has disappeared for a certain period of time, the tissue is presumed dead and ablation may cease.

After the procedure has been performed, the  
10 success of the procedure may be determined by conventional methods. For example, the heart may be paced to induce the arrhythmia or tachycardia using pacing electrodes both before and after the procedure.

The ability to simultaneously record MAPs and  
15 ablate cardiac tissue with a combination MAP/ablation catheter has been examined in dogs. In one study on 22 left ventricular sites in six closed-chest dogs, RF ablation at 25 watts was applied for 60 seconds or until a rise in impedance occurred. Simultaneous MAP recording  
20 throughout each RF application took place using the low-pass filter system described above. Before RF ablation, stable MAP signals of  $22 \pm 7$  mV amplitude were obtained at each site. In 13 RF applications, MAP signal amplitude decreased to less than 20% of baseline within  
25 only 3-5 sec of RF ablation. In these cases, power was shut off at 6-8 sec (group A). In 5 RF applications, MAP amplitude decreased more slowly, falling below 20% within 40-60 seconds. In these cases, power was shut off at 60 sec (group B). In the 4 remaining applications, MAP  
30 amplitude decreased by only 35-65%, and upon cessation of RF ablation (at 60 sec) recovered to 60-85% of baseline (group C). Post-mortem analysis showed similar lesion volumes in group A and B ( $135 \pm 24 \text{ mm}^3$  vs.  $88 \pm 36 \text{ mm}^3$ , NS) but smaller lesion volumes in group C ( $52 \pm 32 \text{ mm}^3$ ;  
35  $p < 0.05$  vs. group A).

-18-

In another set of experiments, application of RF energy of low power and short duration were delivered to the canine heart through the combination MAP/ablation catheter of the current invention to observe the relationship between RF power and duration on one hand and lesions size and change in MAP signal morphology on the other hand. MAP signals were recorded using the low-pass filter and distal bipolar signals, proximal unipolar signals, and distal unipolar signals were all recorded before, during and after RF ablations.

In total four lesions were made in the left ventricle and two in the right ventricle. RF power varied from 5-10 watts and duration varied from 10-60 seconds or until an impedance rise occurred. Changes in MAP signal morphology during and after RF ablation were recorded. These morphology changes can later be correlated to lesion depth, volume and location. The animal is then sacrificed to determine lesion depth, volume and location.

These experiments demonstrate that simultaneous MAP monitoring during RF ablation provides instantaneous feedback about the magnitude and permanence of myocardial tissue destruction, even during very brief RF pulses.

-19-

Claims

We claim:

1. An apparatus for both detecting monophasic action potentials and ablating surface tissue in an in vivo heart of a patient, comprising:
  - a catheter having a proximal end and a distal end, said distal end having a terminal tip and an exterior surface, and said catheter being adapted for insertion into a patient for detecting said potentials;
  - a first electrode carried at said distal tip for contacting surface tissue of the heart of the patient for measuring potentials at said surface tissue;
  - a second electrode carried on said catheter and spaced from said first electrode for supplying a reference potential signal;
  - a third electrode carried on said catheter adjacent to said first electrode and electrically insulated from said first electrode for providing electromagnetic energy to said surface tissue;
  - first electrical means coupled to said first and second electrodes for generating signals representing said action potentials; and
  - second electrical means coupled to said third electrode for providing said electromagnetic energy to said third electrode.
2. The apparatus of claim 1, further comprising an electronic filter system electrically connected to said first electrical means and said second electrical means to eliminate radiofrequency interference.
3. The apparatus of claim 1, wherein at least one of said first and second electrodes is formed from sintered silver-silver chloride.

-20-

4. The apparatus of claim 1, wherein said third electrode comprises a ring made of a partially conductive material of variable thickness and positioned at the proximal end of said third electrode, said ring having a proximal end and a distal end, and said thickness of said ring monotonically increasing from the distal end to the proximal end of said ring.

5. The apparatus of claim 4, wherein said partially conductive material is an epoxy.

6. The apparatus of claim 1, further comprising:  
a plurality of pacing electrodes carried on said catheter at varying distances from said first electrode; and  
a third electrical means for providing a cardiac pacing signal to said pacing electrodes;  
wherein said plurality of pacing electrodes are for pacing the heart tissue.

7. The apparatus of claim 6 wherein said plurality of pacing electrodes includes two electrodes positioned substantially diametrically opposite each other on said exterior surface, and wherein said second electrode is radially positioned between said two pacing electrodes.

8. The apparatus of claim 1 further comprising means carried by said catheter for maintaining said distal end in a substantially perpendicular configuration with respect to said surface tissue and with a substantially constant force against said surface tissue,

35

-21-

for depolarizing heart cells in a vicinity of said first electrode while avoiding injury to said cells.

9. The apparatus of claim 1, further  
5 comprising:

a plurality of mapping electrodes carried on said catheter at varying distances from said first electrode; and

10 a third electrical means for providing cardiac mapping signals from said mapping electrodes to the proximal end of the catheter;

wherein said plurality of mapping electrodes are for mapping heart tissue.

15 10. A method for detecting monophasic action potentials and ablating surface tissue in an in vivo heart of a patient using a catheter having a proximal end and a distal end, with a first electrode carried at the distal end, a second electrode carried on a surface of  
20 the catheter and spaced from the first electrode, and a third electrode adjacent to but electrically insulated from the first electrode, including the steps of:

inserting the distal end into the heart;

25 positioning the distal end in a substantially perpendicular configuration against endocardium of the heart such that the first electrode contacts said endocardium with a force sufficient to depolarize myocardial cells in the vicinity of the first electrode and such that the second electrode does not contact said  
30 endocardium;

detecting first signals by means of said first and second electrodes, said first signals relating to the monophasic action potentials of the heart;

35



-22-

delivering radiofrequency energy to the heart  
by means of said third electrode, said energy sufficient  
to ablate the heart tissue; and

repeating said detecting and delivering steps  
5 until no monophasic action potential signals are  
detected.

10

15

20

25

30

35

1/9

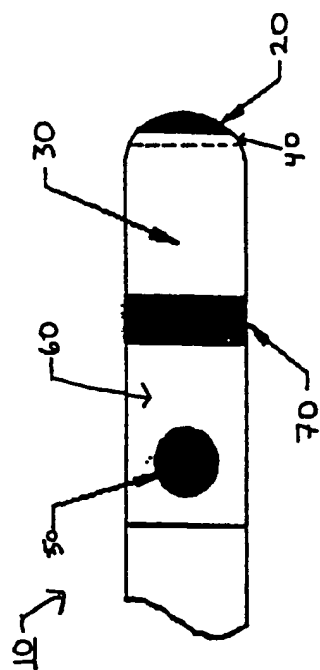


FIGURE 1

2/9

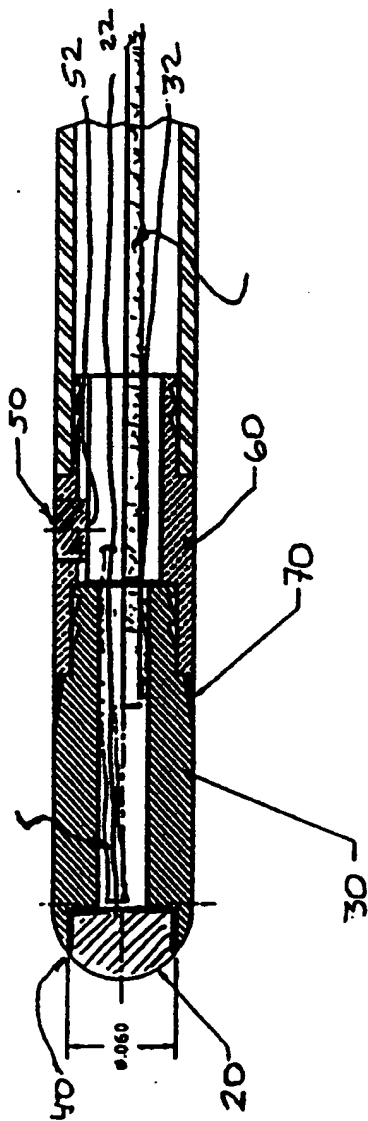


FIGURE 2

3  
9

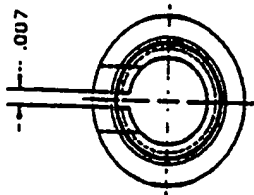
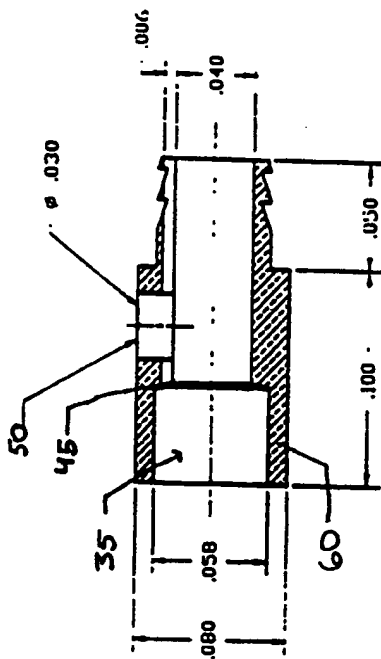


FIGURE 3



4/9

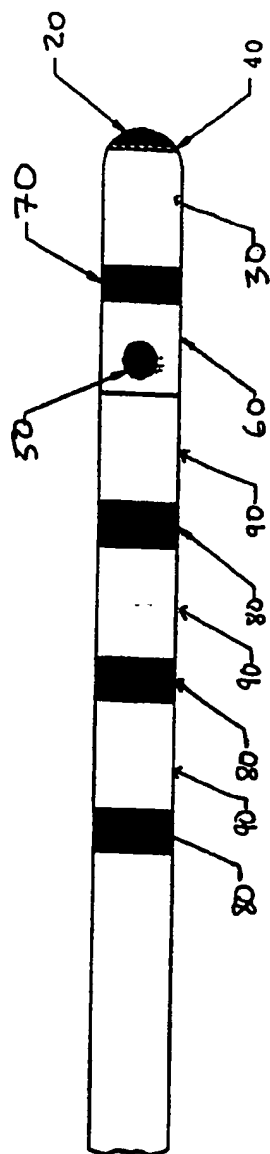
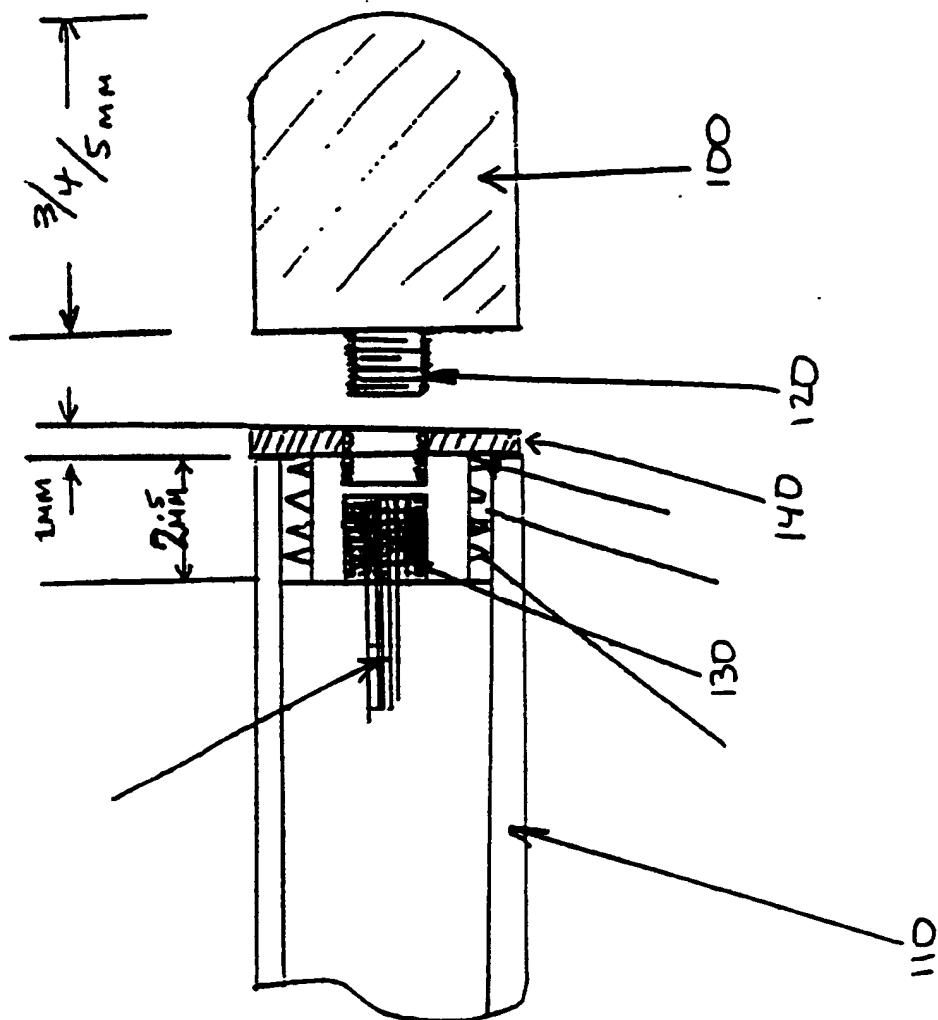


FIGURE 4

5/9

FIGURE 5



6/9

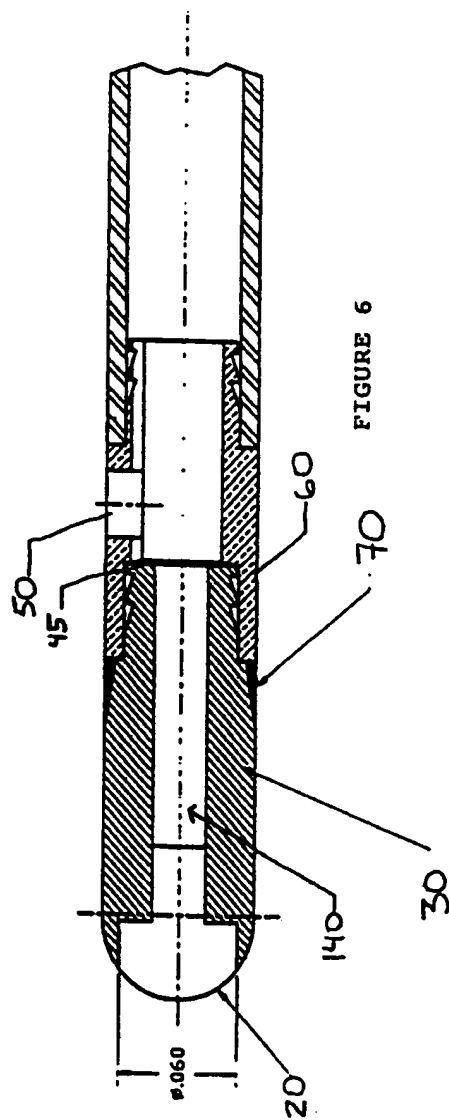


FIGURE 6





4/9

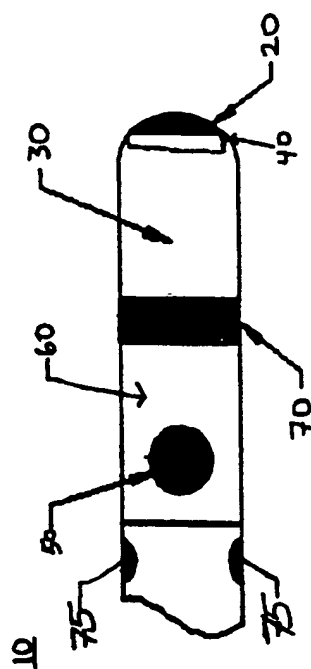


FIGURE 8

9/9

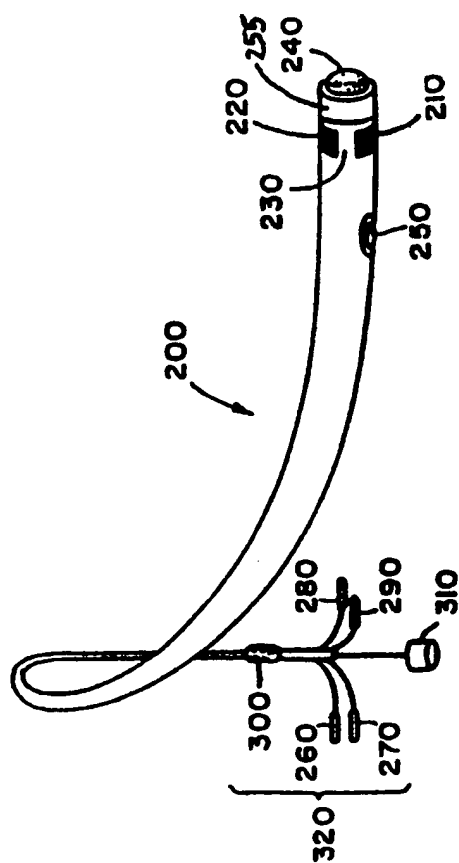


FIGURE 9

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US92/04315

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(5) :A61B 5/04 US CL :128/642 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) U.S. : 128/784,786,804; 606/34,41 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X Y	US,A, 4,892,102 (ASTRINSKY) 01 September 1990, See co. 5, lines 39-48 and fig. 2.	<u>1</u> 2,3,8,10
Y	US, A, 4,641,649 (WALINSKY ET AL.) 10 February 1987, See col. 4 and col. 5 and fig. 2a.	2
Y	US, A, 4,955,382 (FRANZ ET AL.) 11 September 1990, See entire document.	3,8,10
A	US, A, 4,945,912 (LANGBERG) 07 August 1990, See entire document.	1-10
A	US, A, 4,832,048 (COHEN) 23 May 1989, See entire document.	1-10
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* Special categories of cited documents:    "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "A" document defining the general state of the art which is not considered to be part of particular relevance    "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "E" earlier document published on or after the international filing date    "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed    "A" document member of the same patent family		
Date of the actual completion of the international search 09 JULY 1992		Date of mailing of the international search report 10 NOV 1992
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. NOT APPLICABLE		Authorized officer Th BRIAN CASLER NGUYEN NGOC-HO INTERNATIONAL DIVISION Telephone No. (703) 308-3552